

State of Hawaii DOE 403(b) Distribution/Rollover Authorization Form



Participant Instructions	<p>The State of Hawaii DOE 403(b) Distribution/Rollover Authorization Form must be submitted to National Benefit Services, LLC (NBS), the third party administrator, to authorize a distribution or rollover of 403(b) amounts from your employer or former employer's plan. Two types of distributions do <u>not</u> require this form. 1) Hardship distributions require submission of a different form, the State of Hawaii DOE 403(b) Hardship Authorization Form. 2) Required minimum distributions following attainment of age 70 1/2 do not require NBS authorization. Your investment provider may require its own paperwork in addition to this form. You may wish to attach your investment provider's paperwork to this form. All attached forms or paperwork will be forwarded to the investment provider indicated below. Complete steps 1-4 and mail or fax this form to NBS. Inquiries regarding the status of your distribution or rollover may be directed to NBS at (866) 439-2289 ext. 165. After paperwork has been forwarded to your investment provider, inquiries should be directed to your provider. After this form has been received by NBS in good order, it will be forwarded to your provider within 5 business days.</p>		
	<p>NBS Mailing Address: National Benefit Services, LLC 8523 South Redwood Road West Jordan, UT 84088</p>	<p>NBS Fax Number: 800-597-8206</p>	<p>NBS Phone Number: 866-439-2289 ext. 165</p>

Investment Provider Instructions	NBS represents this participant (or beneficiary) is eligible to distribute or rollover of 403(b) amounts in accordance with the employer's plan and the 403(b) Provider/Information Sharing Agreement (Agreement) entered into by your company and NBS, provided that NBS has signed below.
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Step 1	Participant Name	Social Security Number	Date of Birth
Participant Information	Participant Mailing Address	Home Phone Number	Work Phone Number
	(Street)		
	(City, ST ZIP)	Agent Name	Agent Phone Number

Step 2	<p>Select all applicable reasons for withdrawal and the date of the applicable event. If none of the events listed below apply to you, you may not be eligible for a distribution or rollover. You may still be eligible to transfer 403(b) amounts to a different investment provider using the State of Hawaii DOE 403(b) Transfer Authorization Form. Contact your investment provider, financial advisor, or NBS for additional information.</p>		
Reason(s) for Withdrawal	Distributable Event:		
	<input type="checkbox"/> Termination of employment from Sponsoring Employer	Date of event :	_____
	<input type="checkbox"/> Retirement	Date of event :	_____
	<input type="checkbox"/> Attainment of age 59 ½ Required minimum? <input type="checkbox"/> Y <input type="checkbox"/> N	Date of event :	_____
	<input type="checkbox"/> Death of participant (provide documentation)	Date of event :	_____
	<input type="checkbox"/> Disability (provide documentation)	Date of event :	_____
	<input type="checkbox"/> QDRO (provide documentation)	Date of event :	_____
	<input type="checkbox"/> Correction of excess contribution or deferral	Tax year :	_____

Step 3	Indicate the investment provider that currently holds the assets you wish to distribute or rollover		
Source of Assets	Investment Provider:	_____	
	Account Number	_____	
	Street or P.O. Box	_____	
	City, State, Zip	_____	
	Phone Number	_____	
	Fax Number	_____	

Step 4	<p>I recognize that the information contained on and attached to this form may be shared with a third party (including National Benefit Services, LLC (NBS)) as necessary to administer the Plan in accordance with the Internal Revenue Code. I authorize the release of non-public information pertaining to the above accounts and transaction to NBS representatives as necessary to administer the plan.</p>		
Participant/Beneficiary Approval	Participant Signature (or Beneficiary Signature if participant is deceased) (Required)	_____	
		Date	_____

For NBS Use Only	NBS Signature (Required)	Date
	_____	_____